REFINED TYPES DOM DE RE FP-SYD, 24 SEPT, 2014

PARTIALITY

It starts off so innocently:

head' :: [a] -> a head' (x : _) = x head' [] = undefined tail' :: [a] -> [a] tail' (_ : xs) = xs tail' [] = undefined

WHATS THE PROBLEM?

"Just don't give it an empty list." - Some Pragmatic Programmer

THE RABBIT HOLE

First we learn about Maybe, adulterate the type to add a rug to sweep the unwanted kernel under.

head' :: [a] -> Maybe a head' (x : _) = Just x head' [] = Nothing tail' :: [a] -> Maybe [a] tail' (_ : xs) = Just xs tail' [] = Nothing

We have abstractions to propagate things like Maybe to the end of the control flow:

```
class Functor f where
fmap :: (a -> b) -> f a -> f b
class (Functor f) => Applicative f where
pure :: a -> f a
 (<*>) :: f (a -> b) -> f a -> f b
liftA2
    :: (Applicative f)
    => (a -> b -> c)
    -> f a -> f b -> f c
class Monad m where
return :: a -> m a
```

(>>=) :: m a -> (a -> m b) -> m b

But this is just a case of an unwanted pattern, rather than adulterate the output type, we could *refine* the input type:

```
data NonEmpty a = a :| [a]
nonEmpty :: [a] -> Maybe (NonEmpty a)
nonEmpty (x : xs) = Just $ x :| xs
nonEmpty [] = Nothing
head' :: NonEmpty a -> a
head' (x :| _) = x
tail' :: NonEmpty a -> [a]
tail' (_ :| xs) = xs
```

FUNCTOR

And using the same machinery we can lift these simpler total functions up to the more complicated types:

headl :: [a] -> Maybe a
headl = fmap head' . nonEmpty

taill :: [a] -> Maybe [a]
taill = fmap tail' . nonEmpty

APPLICATIVE

liftA2

- :: (Applicative f)
- => (a -> b -> c)
- -> f a -> f b -> f c

MONAD

(=<<)

- :: (Monad m)
- => (a -> m b)
- -> m a -> m b

LENS & TRAVERSALS

```
fromList :: [a] -> Either [b] (NonEmpty a)
fromList = maybe (Left []) Right . nonEmpty
```

```
toList :: NonEmpty a -> [a]
toList (x :| xs) = x : xs
```

```
_NonEmpty :: Prism [a] [b] (NonEmpty a) (NonEmpty b)
NonEmpty = prism toList fromList
```

```
dropTail :: NonEmpty a -> NonEmpty a
dropTail (x :| _) = x :| []
```

```
-- Provided you are happy with the "do nothing" response
-- for values in the kernel of fromList
over _NonEmpty
    :: (NonEmpty a -> NonEmpty b)
    -> [a] -> [b]
```

We have a **lot** of tools to lift functions on simpler types into functions on more complex types. This all sounds great, so where does it go wrong?

ROCK BOTTOM

A BINARY TREE

data Tree a =
 Leaf
 Node a (Tree a) (Tree a)

RED-BLACK TREE

data Colour = Red | Black

data RBTree a =
 Leaf
 Node Colour a (RBTree a) (RBTree a)

OH WAIT!

Invariants:

- 1. Red nodes have no Red Children
- 2. All paths from the root node to the leaves have the same number of black nodes

PROPERTIES

- This is a common use for properties, write up your properties that check that the invariants are valid in the output.
- Write up your Arbit rary instance for your type that produces values that satisfy the invariant.

- Sometimes, writing up code that generates the invariant satisfying values ends up being very similar to the code you are testing...
- On top of that concern, you have to worry about the coverage of the invariant satisfying subset.

insert

- :: (**Ord** a)
- => a
- -> RBTree a
- -> RBTree a

balance

- :: Colour
- -> a
- -> RBTree a
- -> RBTree a
- -> RBTree a

LET'S REFINE

data Colour = Red | Black

data RBTree a =
 Leaf
 Node Colour a (RBTree a) (RBTree a)



```
-- Ignoring Invariant 2 since we only looking at inserts
```

data RedNode a = RedNode a (BlackNode a) (BlackNode a)

```
-- technically, the root node is supposed to be black, so this would
-- represent a red black tree in its final state.
data BlackNode a =
        Leaf
        | BlackNode a (RedBlack a) (RedBlack a)
data RedBlack a = R (RedNode a) | B (BlackNode a)
```

Oh, and while we are inserting a value into the tree, the tree can be in an intermediate state where Invariant 1 is broken at the root:

```
-- This is assumed to be representing a Red Node
-- at the root
data Invariant1Broken a =
        LeftRedChild a (RedNode a) (BlackNode a)
        RightRedChild a (BlackNode a) (RedNode a)
data InsertState a =
        Ok (RedBlack a)
        Broken (Invariant1Broken a)
```

Wooo! Alright, now lets go rewrite those two simple yet incredibly bug prone functions!

BEFORE

insert

- :: (**Ord** a)
- => a
- -> RBTree a
- -> RBTree a

balance

- :: Colour
- -> a
- -> RBTree a
- -> RBTree a
- -> RBTree a

AFTER

- balanceblackl :: a -> InsertState a -> RedBlack a -> RedBlack a
- balanceblackr :: a -> RedBlack a -> InsertState a -> RedBlack a
- fixBroken :: InsertState a -> BlackNode a
- ins :: (Ord a) => a -> RedBlack a -> InsertState a
- insBlack :: (Ord a) => a -> BlackNode a -> RedBlack a
- insRed :: (Ord a) => a -> RedNode a -> InsertState a
- joinRedl :: a -> RedBlack a -> BlackNode a -> InsertState a
- joinRedr :: a -> BlackNode a -> RedBlack a -> InsertState a
- insert :: (Ord a) => a -> BlackNode a -> BlackNode a

When the compiler finally released me I had realised I hadn't eaten for 5 days. But I **don't** have a problem.

- The Rabbit hole goes further with Invariant 2 and deletes, with DataKinds or GADTs.
- The deletes involve leaving the tree in an intermediate state where invariant 2 is broken.

Not going there in this talk.

REFLECTION

So whats the problem here? What's the difference between the [a] / NonEmpty a case?

- All of the types could be injected into RBTree a much like NonEmpty a can be injected into [a].
- But theres a conceivable use for [a], values exist.
- Other than implementing the core operations, users of the of the data structure should never encounter values that break the invariants.

REFINING TYPES

- So far when I "refined" a type, I had to write up a completely new distinct type.
- Conversions between all these different types can be potentially inefficient.
- To "refine" [a], had to throw away the [] and build NonEmpty a from a again.

We almost always use Type constructors to adulterate types (except for Const and Identity)

So could we get more mileage from our types if we could qualify our types to restrict or refine them instead of adulterating them? type RedNode a = { t : RBTree a | ??? }

type BlackNode a = { t : RBTree a | ??? }

```
type RedBlack a = { t : RBTree a | ??? }
```

type InsertState a = { t : RBTree a | ??? }



A WORKED EXAMPLE

RED-BLACK TREES?

Haha, goodness me no.

You can see my very very very early attempt at using DataKinds and GADTs to do it here

And a recent experiment with Liquid Types that doesn't quite work yet here.

BINOMIAL TREES

A primitive from which Binomial heaps are built:

data BinomialTree a = BinomialTree a [a]

Defined inductively as follows:

- Binomial tree of Rank 0 is a singleton node.
- A binomial tree of rank r + 1 is formed by linking two binomial trees of rank r, with one becoming a child of the other.

MEASURES

Liquid Haskell lets you define simple functions to use in constraints. They can't return functions though.

{-@

measure binTreeRank :: BinomialTree a -> Int binTreeRank (BinomialTree x cs) = len cs @-}

REFINED TYPES

Or *Liquid Types* (Logically **Q**ualified **D**ata Types). Similar to Subset Types in **Coq**.

{-@ type BinomialTreeN a N = {t : BinomialTree a | (binTreeRank t) = N} @-}

INVARIANTS

The inductive definition results in the following invariant:

• The list of children is ordered by decreasing rank, with each element 1 rank higher than the next...

Encode invariants into the type:

```
data BinomialTreeList a =
        Nil
        Cons (BinomialTreeList a) (BinomialTree a)
{-@
    measure listlen :: BinomialTreeList a -> Int
    listlen (Nil) = 0
    listlen (Cons xs x) = 1 + (listlen xs)
(a - )
{-@ invariant {v : BinomialTreeList a | (listlen v) >= 0} @-}
{-@
    type BinomialTreeListN a N = \{ts : BinomialTreeList a \mid (listlen ts) = N\}
(d-}
-- Invariant here
{-@
    data BinomialTreeList [listlen] a =
            Nil
            Cons (ts :: BinomialTreeList a)
                    (t :: BinomialTreeListN a {(listlen ts)})
(d-}
```

Let's store the rank in the structure and add an invariant for that also:

```
data BinomialTree a = BinomialTree Int a (BinomialTreeList a)
```

```
{-@
  measure binTreeRank :: BinomialTree a -> Int
  binTreeRank (BinomialTree r x cs) = r
@-}
{-@
  data BinomialTree a =
    BinomialTree (r :: Int) (x :: a) (cs :: BinomialTreeListN a {r})
@-}
```

Can now provide guarantees on the outputs of functions that are statically checked:

```
{-@ binlength :: t : BinomialTreeList a -> {x : Int | x = (listlen t)} @-}
binlength :: BinomialTreeList a -> Int
binlength Nil = 0
binlength (Cons ts _) = 1 + binlength ts
{-@ rank :: v : BinomialTree a -> {x : Int | x = (binTreeRank v)} @-}
rank :: BinomialTree a -> Int
rank (BinomialTree r _ _) = r
-- rank (BinomialTree _ _ cs) = binlength cs
-- rank _ = 0
```

Verify the inductive definition is preserved by our implementation of the core operations:

```
Singleton node defined to have rank 0
{-@ singletonTree :: a -> BinomialTreeN a {0} @-}
singletonTree :: a -> BinomialTree a
singletonTree x = BinomialTree 0 x Nil
   Rank r + 1 tree is created by linking together two rank r trees.
{-@
    link
        :: (Ord a)
        = w : BinomialTree a
        -> z : BinomialTreeN a {(binTreeRank w)}
        -> BinomialTreeN a {1 + (binTreeRank w)}
(a - )
link :: BinomialTree a -> BinomialTree a -> BinomialTree a
link w@(BinomialTree rw x ws) z@(BinomialTree rz y zs)
                = BinomialTree (rw + 1) \times (Cons ws z)
      X < V
      otherwise = BinomialTree (rz + 1) y (Cons zs w)
```

FINAL THOUGHTS

PROS

- Don't have to manipulate proofs in parallel with program values.
- Some of the expressive capacity of Dependent Types

LIMITATIONS 1

- Only some of the expressive capacity of Dependent Types
- Can use any SMT solver backend apparently, but z3 is the only with a reliable enough reputation
- z3 is not free (Non-Commercial Research use only)
- Using an SMT solver is a little "black boxy", not sure I would ever want it in the compiler, don't know if that will ever take off
 - Then again, I didn't think the IPod was going to be a big deal.

LIMITATIONS 2

- If refined types rule out specific patterns (e.g Red Black trees), fails exhaustivity checking in GHC since the function is effectively partial as far as GHC is concerned.
- A lot of the time, expressing properties/invariants/constraints is really just as challenging as doing so in the existing type system.
 - So I don't think we have solved the Type complexity problem yet.
- At the moment its like a separate type system running in parallel, gets a little schizophrenic.
- Terrible error messages

```
{-@ binlength :: t : BinomialTreeList a -> {x : Int | x = (listlen t)} @-}
binlength :: BinomialTreeList a -> Int
binlength Nil = 0
binlength (Cons ts _) = 2 + binlength ts
src/Data/Binomial.hs:75:26-41: Error: Liquid Type Mismatch
Inferred type
VV : Int | (VV == (?c + ?a))
not a subtype of Required type
VV : Int | (VV == (listlen ?b))
In Context
ts : (BinomialTreeList a) | ((listlen ts) >= 0)
?b : (BinomialTreeList a) | ((listlen ?b) >= 0)
?a : Int | (?a == (listlen ts))
?c : Int | (?c == (2 : int))
```

REFERENCES AND FURTHER READING

- Z3
- N. Vazou, E. L. Seidel, R. Jhala, D. Vytiniotis, and S. Peyton-Jones. Refinement types for Haskell
- Try Liquid Haskell An Online Interactive Liquid Haskell Demo